



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,898	10/31/2001	Richard E. Fine	3928/1J861US1

CONFIRMATION NO. 9001

## FORMALITIES LETTER



\*OC000000007391296\*

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

Date Mailed: 01/30/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY

03/14/2002 HWRZ11 00000070 10001898  
01 FC:201  
02 FC:205  
370.00 OP  
65.00 OP



03-11-02

Seeborn

EXPRESS MAIL CERTIFICATE

Date 3/7/02 Label No. EU 039139221US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

J. DiMillo A. DiMillo  
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No: 3928/1J861-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: FINE, Richard E.; BERG, Eric A.

Serial No.: 10/001,898

Art Unit: 1644

Confirmation No.: 9001

Filed: October 31, 2001

Examiner:

For: Method of Purification of Neuropeptide Containing Vesicles From the Brain

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

March 7, 2002

Sir:

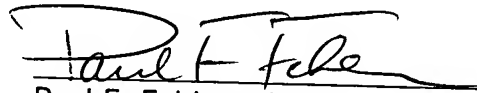
In response to the Notice to File Missing Parts dated January 30, 2002, the following items are submitted herewith in completion of the above-identified patent application:

**BEST AVAILABLE COPY**

1. A copy of Notice to File Missing Parts of Provisional Application.
2. Check in the amount of \$435.00 (basic filing fee and late filing fee).
3. Executed Declaration and Power of Attorney.
4. Executed Assignment.
5. Preliminary Amendment.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,



Paul F. Fehlner, Ph.D.

Reg. No. 35,135

Attorney for Applicants

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MAR 07 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/001,898	10/31/2001	1644	0.00	3928/1J861US1	6	15	3

CONFIRMATION NO. 9001

## FILING RECEIPT



\*OC00000007391295\*

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

Date Mailed: 01/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard E. Fine, Chestnut Hill, MA;  
Eric A. Berg, Cumberland Center, ME;

## Assignment For Published Patent Application

Trustees of Boston University;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/244,971 11/01/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/30/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Method of purification of neuropeptide containing vesicles from the brain

Computer vp  
D&D Entry sp  
Looseleaf sp  
Folder sp  
Letter \_\_\_\_\_  
Foreign Flg. \_\_\_\_\_

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